

Our Ref: James Ford

17 MAY 2021

Your Ref: V.O.I. – New Account

Attn: Mr. Greg Davis (Supervisor, Sales)
Questrade Inc.
North American Centre
5700 Yonge Street, Unit G1 – Ground Floor
TORONTO, ONTARIO CANADA M2M 4K2

via express post

Dear Mr. Davis

RE: V.O.I. – NEW ACCOUNT (JAMES FORD)

Pursuant to our agency agreement with Questrade Inc. and according to the prescribed Canadian legislative guidelines as we understand them:

- we confirm that we have verified the identity of **JAMES FORD (A.K.A JIMMY)** who appeared before me in person at our office in Sydney; and
- as evidence of compliance with the prescribed process please find the **attached** signed statement which includes a colour copy of the bio page of their passport.

Kind regards,

JAMES D. FORD GAICD

General Practice Lawyer | Corporate Governance

jdf@blueocean.law

W blueocean.law

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Sydney NSW 2000
F 02 9475 0075

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c/o The Executive Centre
Level 54, 111 Eagle Street
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Trust Account Details Macquarie Bank
Blue Ocean Law Group Pty Ltd - Law Practice Trust Account
BSB 182-222 Account # 3038-42025
Bank Account Details Macquarie Bank
Blue Ocean Law Group Pty Ltd - General Account
BSB 182-222 Account # 3038-42033

SWIFT Code (for international transfers) MACQAU2S

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Legal practitioners at Blue Ocean Law Group Pty Ltd ACN 618 974 879 are members of the scheme.

Questrade VOI - Preparation Instructions

Important: We understand that you have requested that we sent you a Trustifi Secure email so you can access Trustifi to provide your Identity Paperwork via a military-grade encrypted reply email.

YOUR CONTACT DETAILS

Phone #: 0411 745 132

Email: jdf@blueocean.law

DRAFT

ATTESTATION

Attestation Wording: The following attestation text is to be copied onto the attestation page containing the full-colour scan of your passport:

I, **JAMES D. FORD**, Solicitor attest that I have today met personally with **JAMES FORD (a.k.a Jimmy)** who presented me with their original passport # K123 issued on 31 May 2011, expiry date 31 May 2021 (copied here).

I asked **Jimmy** whether they are a PEP or HIO; or a family member or a close associate to a PEP or HIO?"

PEP being "Politically Exposed Person"; HIO being "Head of an International Organisation"

They confirmed that they:

- had read + understood definitions of these terms on the following pages "**ANNEXURE 2**";
- fully understood my above question; and
- responded "Yes" or "No" (**circle response**).

JAMES D. FORD GAICD
Principal Solicitor

Dated: 17 May 2021

ANNEXURE 2

"PEP" means a person who holds or has held one of the following offices or positions in or on behalf of a foreign state:

- ⤵ Head of state or head of government;
- ⤵ Member of the executive council of government or member of a legislature;
- ⤵ Deputy minister or equivalent rank;
- ⤵ Ambassador, or attaché or counsellor of an ambassador;
- ⤵ A military officer with a rank of general or above;
- ⤵ President of a state-owned company or a state-owned bank;
- ⤵ Head of a government agency;
- ⤵ Judge of a supreme court, constitutional court or other courts of last resort; or
- ⤵ Leader or president of a political party represented in a legislature.

These persons are PEPs regardless of citizenship, residence status or birthplace.

"HIO" means the head of an international organization is a person who is either:

- ① The head of an international organization established by the governments of states;
or
- ② The head of an institution established by an international organization.



ANNEXURE 2 (CONT.)

Family member of a PEP or HIO includes:

- ⤵ Their spouse or common-law partner;
- ⤵ Their child;
- ⤵ Their mother or father;
- ⤵ The mother or father of their spouse or common-law partner; and
- ⤵ A child of their mother or father (sibling).

Some examples of a close association for personal or business reasons include a person who is:

- ⤵ Business partners with, or who beneficially owns or controls a business with, a PEP or HIO;
- ⤵ In a romantic relationship with a PEP or HIO, such as a boyfriend, girlfriend or mistress;
- ⤵ Involved in financial transactions with a PEP or an HIO;
- ⤵ A prominent member of the same political party or union as a PEP or HIO;
- ⤵ Serving as a member of the same board as a PEP or HIO; or
- ⤵ Closely carrying out charitable works with a PEP or HIO.

